Item 1: Cover Page

Lampe Financial Group, LLC

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Form ADV Part 2A – Firm Brochure

Dated: 28 March 2024

This Brochure provides information about the qualifications and business practices of Lampe Financial Group, LLC. If you have any questions about the contents of this Brochure, please contact us at (646) 736-2575. The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Lampe Financial Group, LLC is a registered investment adviser. Registration does not imply a certain level of skill or training.

Additional information about Lampe Financial Group, LLC also is available on the SEC's website at www.adviserinfo.sec.gov, which can be found using the firm's identification number, 328129.

Item 2: Material Changes

Since becoming approved on 15 September 2023, the following changes have been made to this version of the Disclosure Brochure:

- The Advisor has added to the services that they are offering. Please see Items 4 and 5 for additional information.
- The Advisor has adjusted their fee schedule for Investment Management Services.

From time to time, we may amend this Brochure to reflect changes in our business practices, changes in regulations, and routine annual updates as required by securities regulators. Either this complete Brochure or a Summary of Material Changes shall be provided to each Client annually and if a material change occurs in the business practices of Lampe Financial Group, LLC.

Item 3: Table of Contents

Item 4: Advisory Business

Description of Advisory Firm

Lampe Financial Group, LLC is an Investment Adviser principally located in the state of Colorado. We are a limited liability company founded in March of 2018. Lampe Financial Group, LLC became registered in 2023. Jason Lampe is the principal owner and Chief Compliance Officer ("CCO").

As used in this brochure, the words "LFG", "we", "our firm", "Advisor" and "us" refer to Lampe Financial Group, LLC and the words "you", "your", "their" and "Client" refer to you as either a client or prospective client of our firm.

Types of Advisory Services

LFG is a fee-only firm, meaning the only compensation we receive is from our Clients for our services. We offer Investment Management and Financial Planning Services. From time to time, LFG recommends third-party professionals such as attorneys, accountants, tax advisors, insurance agents, or other financial professionals. Clients are never obligated to utilize any third-party professional we recommend. LFG is not affiliated with nor does LFG receive any compensation from third-party professionals we may recommend.

Combined Investment Management and Ongoing Financial Planning Services

Our firm provides continuous advice to a Client regarding the investment of Client funds based on the individual needs of the Client. Through personal discussions in which goals and objectives based on a Client's particular circumstances are established, we develop a Client's personal investment policy or an investment plan with an asset allocation target and create and manage a portfolio based on that policy and allocation targets. We will also review and discuss a Client's prior investment history, as well as family composition and background. Account supervision is guided by the stated objectives of the Client (e.g., but not limited to, the spectrum from Safety of Principal to Aggressive Growth), as well as risk tolerance and tax considerations.

We primarily advise our Clients regarding investments in stocks, bonds, mutual funds, ETFs, U.S. government and municipal securities, and cash and cash equivalents. We may also provide advice regarding investments held in Client's portfolio at the inception of our advisory relationship and/or other investment types not listed above, at the Client's request.

When we provide investment management services, Clients grant us limited authority to buy and sell securities on a discretionary basis. More information on our trading authority is explained in Item 16 of this Brochure. Clients may request reasonable restrictions on investing in certain securities, types of securities, or industry sectors. However, approval of such requests are at LFG's sole discretion.

At the Client's election and upon receipt of an onboard fee, LFG provides the Client with ongoing financial planning services, which involves working one-on-one with a financial planner ("planner") over an extended period of time. A Client will be taken through establishing their goals and values around money in order to collaborate with the planner to develop and assist in the implementation of their broad financial plan. If this service is elected by the Client, they will be required to provide pertinent information to help complete the following (but not limited to) areas of analysis: net worth, cash flow, credit scores/reports, employee benefits, retirement planning, insurance, investments, college planning, and estate planning. The planner will work with the Client in helping the Client identify, select, and prioritize certain financial goals while understanding the effect that pursuing one goal may have on other potential goals; assessing the Client's current course of action and alternative courses of action to identify changes that could provide a better opportunity for the client to meet their financial goals; developing & presenting financial planning recommendations based on the aforementioned actions while including all information that was considered in preparing the recommendations; and ongoing monitoring of the Client's progress toward the goals and objectives that the recommendations are based around. These components all require in-depth communication with the Client in order for the planner to establish an implementation strategy that provides the Client with options in pursuing their goals and objectives. Once the Client's information is reviewed, the components of the financial plan will be analyzed, and then the findings, analysis and potential changes to their current situation will be reviewed with the Client. A successful engagement in the ongoing portion of this service is demonstrated by the continued and active participation of the Client. The planner may recommend appropriate changes as the Client's situation, goals, and objectives evolve.

In performing financial planning services, LFG is not required to verify any information received from the Client nor from the Client's other professionals (e.g., attorneys, accountants, etc.,) and is expressly authorized to rely on such information received.

Investment Management Services

Our firm provides continuous advice to a Client regarding the investment of Client funds based on the individual needs of the Client. Through personal discussions in which goals and objectives based on a Client's particular circumstances are established, we develop a Client's personal investment policy or an investment plan with an asset allocation target and create and manage a portfolio based on that policy and allocation targets. We will also review and discuss a Client's prior investment history, as well as family composition and background. Account supervision is guided by the stated objectives of the Client, but not limited to, the spectrum from Safety of Principal to Aggressive Growth), as well as risk tolerance and tax considerations.

We primarily advise our Clients regarding investments in stocks, bonds, mutual funds, ETFs, U.S. government and municipal securities, individual securities, and cash and cash equivalents. We may also provide advice regarding investments held in Client's portfolio at the inception of our advisory relationship and/or other investment types not listed above, at the Client's request.

When we provide investment management services, Clients grant us limited authority to buy and sell securities on a discretionary basis. More information on our trading authority is explained in Item 16 of this Brochure. Clients may request reasonable restrictions on investing in certain securities, types of securities, or industry sectors. However, approval of such requests are at LFG's sole discretion.

When appropriate, we utilize the services of sub-advisors to assist with portfolio management. Sub-advisory agreements are executed between the sub-advisor and LFG, and the sub-advisor does not work with the end client directly. The sub-advisory agreement will enable the sub-advisor to operate off LFG's instructions. LFG maintains the ability to hire and/or fire sub-advisors when they believe it is in the client's best interests.

Financial Planning Services

Financial planning involves an evaluation of a Client's current and future financial state by using currently known variables to predict future cash flows, asset values, and withdrawal plans. The key defining aspect of financial planning is that through the financial planning process, all questions, information, and analysis may be considered as they affect and are affected by the entire financial and life situation of the Client.

In general, the financial plan may address some or all of the following areas of concern. The Client and LFG may work together to select specific areas to cover. These areas may include, but are not limited to, the following:

- **Business Planning:** We provide consulting services for Clients who currently operate their own business, are considering starting a business, or are planning for an exit from their current business.

Under this type of engagement, we work with you to assess your current situation, identify your objectives, and develop a plan aimed at achieving your goals.

- **Cash Flow and Debt Management**: We may conduct a review of your income and expenses to determine your current surplus or deficit along with advice on prioritizing how any surplus should be used or how to reduce expenses if they exceed your income. Advice may also be provided on which debts to pay off first based on factors such as the interest rate of the debt and any income tax ramifications. We may also recommend what we believe to be an appropriate cash reserve that should be considered for emergencies and other financial goals, along with a review of accounts (such as money market funds) for such reserves, plus strategies to save desired amounts.

- **College Savings**: We may provide review and analysis as to projecting the amount that may be needed to achieve college or other post-secondary education funding goals, along with advice on ways for you to save the desired amount. Recommendations as to savings strategies may be included, and, if needed, we may review your financial picture as it relates to eligibility for financial aid or the best way to contribute to children and grandchildren (if appropriate).

- **Employee Benefits Optimization**: We may provide review and analysis as to whether you, as an employee, are taking the maximum advantage possible of your employee benefits. If you are a business owner, we may consider and/or recommend the various benefit programs that can be structured to meet both business and personal retirement goals.

- **Estate Planning**: We may provide an analysis of your exposure to estate taxes and your current estate plan, which may include whether you have a will, powers of attorney, trusts, and other related documents. Our advice also typically includes ways for you to minimize or avoid future estate taxes by implementing appropriate estate planning strategies such as the use of applicable trusts. We always recommend that you consult with a qualified attorney when you initiate, update, or complete estate planning activities. We may provide you with contact information for attorneys who specialize in estate planning when you wish to hire an attorney for such purposes. From time-to-time, we may participate in meetings or phone calls between you and your attorney with your approval or request.

- **Financial Goals**: We may help Clients identify financial goals and develop a plan to reach them. We may identify what you plan to accomplish, what resources you may need to make it happen, how much time you may need to reach the goal, and how much you should budget for your goal.

- **Insurance**: We may review existing policies to ensure proper coverage for life, health, disability, long-term care, liability, home, and automobile.

- **Investment Analysis**: This may involve developing an asset allocation strategy to meet Clients' financial goals and risk tolerance, providing information on investment vehicles and strategies, reviewing employee stock options, as well as assisting you in establishing your own investment account at a selected broker/dealer or custodian. The strategies and types of investments we may recommend are further discussed in Item 8 of this brochure.

- **Retirement Planning**: Our retirement planning services typically include projections of your likelihood of achieving your financial goals, typically focusing on financial independence as the primary objective. For situations where projections show less than the desired results, we may make recommendations, including those that may impact the original projections by adjusting certain variables (e.g., working longer, saving more, spending less, taking more risk with investments).

  If you are near retirement or already retired, advice may be given on appropriate distribution strategies to minimize the likelihood of running out of money or having to adversely alter spending during your retirement years.

- **Risk Management:** A risk management review may include an analysis of your exposure to major risks that could have a significant adverse impact on your financial picture, such as premature death, disability, property and casualty losses, or the need for long-term care planning. Advice may be provided on ways to minimize such risks and about weighing the costs of purchasing insurance versus the benefits of doing so and, likewise, the potential cost of not purchasing insurance ("self-insuring").

- **Tax Planning Strategies:** We do not provide tax advice. Tax planning may include ways to minimize current and future income taxes as a part of your overall financial planning picture. For example, we may make recommendations on which type of account(s) or specific investments could be owned based in part on the "tax efficiency" of the investment, with the consideration that there is always a possibility of future changes to federal, state or local tax laws and rates that may impact your situation.

  We recommend that you consult with a qualified tax professional before initiating any tax planning strategy, and we may provide you with contact information for accountants or attorneys who specialize in this area if you wish to hire someone for such purposes. From time-to-time, we may participate in meetings or phone calls between you and your tax professional with your approval or request.

Financial Planning Services are offered on a Project-Based or via an Ongoing engagement. In performing these services, LFG is not required to verify any information received from the Client nor from the Client's other professionals (e.g., attorneys, accountants, etc.,) and is expressly authorized to rely on such information received.

Ongoing Financial Planning. This service involves working one-on-one with a financial planner ("planner") over an extended period of time. Through this ongoing arrangement, Clients are expected to collaborate with and respond to the planner to develop and assist in the implementation of the Client's financial plan (the "plan"). The planner will monitor the plan, and may recommend appropriate changes as the Client's situation, goals, and objectives evolve.

Upon engaging the firm for financial planning, LFG is responsible for obtaining and analyzing necessary qualitative and quantitative information from the Client that is essential to understanding the Client's personal and financial circumstances; helping the Client identify, select, and prioritize certain financial goals while understanding the effect that pursuing one goal may have on other potential goals; assessing the Client's current course of action and alternative courses of action to identify required changes that could provide a better opportunity for the client to meet their financial goals; developing & presenting financial planning recommendations based on the aforementioned actions while including all information that was required to be considered in preparing the recommendations; and ongoing monitoring of the Client's progress toward the goals and objectives that the recommendations are based around. These components require in-depth communication with the Client in order for the planner to establish a financial plan and implementation strategy that provides the Client with the most appropriate options in pursuing their established goals and objectives.

Project-Based Financial Planning. We provide project-based financial planning services on a limited scope one-time engagement. Project-Based Financial Planning is available for Clients looking to address specific questions or issues. The Client may choose from one or more of the above topics to cover or other areas as requested and agreed to by LFG. For Project-Based Financial Planning, the Client will be ultimately responsible for the implementation of the financial plan.

Client Tailored Services and Client Imposed Restrictions

We tailor the delivery of our services to meet the individual needs of our Clients. We consult with Clients initially and on an ongoing basis, through the duration of their engagement with us, to determine risk tolerance, time horizon and other factors that may impact the Clients' investment and/or planning needs.

Clients are able to specify, within reason, restrictions they would like to place as it pertains to individual securities and/or sectors that will be traded in their account. All such requests must be provided to LFG in writing. LFG will notify Clients if they are unable to accommodate any requests.

Assets Under Management

As of 31 December 2023, LFG has $28,514,218 in discretionary and $0 in non-discretionary assets under management.

Item 5: Fees and Compensation

Please note, unless a Client has received this brochure at least 48 hours prior to signing an Advisory Contract, the Advisory Contract may be terminated by the Client within five (5) business days of signing the Advisory Contract without penalty or fees.

How we are paid depends on the type of advisory services we perform. Below is a brief description of our fees, however, you should review your executed Advisory Contract for more detailed information regarding the exact fees you will be paying. No change to the agreed-upon advisory fees outlined in the Advisory Contract shall occur without prior written Client consent. Please note, lower fees for comparable services may be available from other sources.

Combined Investment Management and Ongoing Financial Planning Services

The fee is based on a percentage of assets under management plus an onboard fee of no greater than $5,000. The onboard fee is dependent on complexity, and all fees are negotiable. The onboard fee covers the initial construction of the comprehensive financial plan. The annualized advisory fees for investment management and ongoing financial planning services are based on the following fee schedule:

Assets Under Management	Annual Advisory Fee
First $0 - $500,000	1.95%
Next $500,001 - $2,500,000	1.70%
Next $2,500,001 - $5,000,000	1.45%
$5,000,001 and Above	1.20%

The annual advisory fee is paid quarterly in advance based on the value of Client's account(s) as of the last day of the previous quarter. The advisory fee is a blended tier. For example, for assets under management of $2,000,000, a Client would pay 1.95% on the first $500,000 and 1.70% on the remaining balance. The quarterly fee is determined by the following calculation: (($500,000 x 1.95%) + ($1,500,000 x 1.70%)) ÷ 4 = $8,812.50.

In determining the advisory fee, we may allow accounts of members of the same household to be aggregated. LFG relies on the valuation as provided by Client's custodian in determining assets under management. Our advisory fee is prorated for any partial billing periods occurring during the engagement, including the initial and terminating billing periods. Clients may make additions or withdrawals from their account at any time; however, LFG reserves the right to adjust our advisory fees on a pro-rata basis on account of any such cash-flow transactions.

If LFG utilizes a sub-advisor, Client may incur additional fees assessed by the sub-advisor that are dependent on the asset allocation model or strategy being utilized. The Client will be informed of any changes in their fee arrangement prior to the new fees becoming effective. LFG is responsible for the delivery of any sub-advisor's Disclosure Brochure(s) to LFG's clients. Those documents will disclose the advisory fees, billing schedule, and payment procedures of the sub-advisor. At no point will the combined fee charged to the Client exceed 2% of assets under management.

Investment Management Only Service

The fee is based on a percentage of assets under management and is negotiable. The annualized fees for investment management services are based on the following fee schedule:

Assets Under Management	Annual Advisory Fee
First $0 - $500,000	1.75%
Next $500,001 - $2,500,000	1.50%
Next $2,500,001 - $5,000,000	1.25%
$5,000,001 and Above	1.00%

The annual advisory fee is paid quarterly in advance based on the value of Client's account(s) as of the last day of the previous quarter. The advisory fee is a blended tier. For example, for assets under management of $2,000,000, a Client would pay 1.75% on the first $500,000 and 1.5% on the remaining balance. The quarterly fee is determined by the following calculation: (($500,000 x 1.75%) + ($1,500,000 x 1.5%)) ÷ 4 = $7,812.50.

In determining the advisory fee, we may allow accounts of members of the same household to be aggregated. LFG relies on the valuation as provided by Client's custodian in determining assets under management. Our advisory fee is prorated for any partial billing periods occurring during the engagement, including the initial and terminating billing periods. Clients may make additions or withdrawals from their account at any time; however, LFG reserves the right to adjust our advisory fees on a pro-rata basis on account of any such cash-flow transactions.

If LFG utilizes a sub-advisor, Client may incur additional fees assessed by the sub-advisor that are dependent on the asset allocation model or strategy being utilized. The Client will be informed of any changes in their fee arrangement prior to the new fees becoming effective. LFG is responsible for the delivery of any sub-advisor's Disclosure Brochure(s) to LFG's clients. Those documents will disclose the advisory fees, billing schedule, and payment procedures of the sub-advisor. At no point will the combined fee charged to the Client exceed 2% of assets under management.

Held Away Account Services

As part of our investment management services, for accounts not directly managed by our firm, we may regularly review the current holdings and available investment options in these accounts. In addition we may monitor the accounts, and provide recommendations to the Client with regards to rebalancing and implementing strategies as necessary.

For certain held-away assets, such as variable annuities and 529 plans, where we provide periodic advice and supervision, we may bill these accounts directly or assess the fees from one of the client's managed accounts, in accordance with the fee schedule above.

Ongoing Financial Planning Only Service

LFG collects an initial fee, no greater than $5,000. The initial fee covers the initial construction of the comprehensive financial plan. Once the fee is paid, this work will commence within a mutually agreed upon time, but not more than six (6) months after the fee is paid. If the fee is not paid, the work will not commence. The length of time required to complete and deliver the plan is dependent on several factors including the needs of the Client, the Client’s ability to provide necessary information and documentation, as well as the complexity of their financial situation. Advisor may reduce or waive the initial fee at the Advisor’s discretion. At no time do we require prepayment of $500 or more six months or more in advance of rendering the services.

We charge a recurring fixed fee for Ongoing Financial Planning. Fees are paid monthly in advance, ranging from $100 to $3,500. The recurring fee will commence after the delivery of the plan and acceptance by the client. The fee range is dependent upon variables including the specific needs of the Client, complexity, estimated time, research, and resources required to provide services to you, among other factors we deem relevant. Fees are negotiable and the final agreed upon fee will be outlined in your Advisory Contract.

Project-Based Financial Planning Only Service

LFG charges either a fixed or hourly fee for Project-Based Financial Planning. Fixed fee rates range between $500 to $10,000. Our hourly rate is $350.

The fee range is dependent upon variables including the specific needs of the Client, complexity, estimated time, research, and resources required to provide services to you, among other factors we deem relevant. Fees are negotiable and the final agreed upon fee will be outlined in your Advisory Contract. LFG may request a portion of the fee to be collected in advance with the remainder due upon completion of the services. LFG will not bill an amount above $500 more than 6 months or more in advance of rendering the services.

Fee Payment

For our Combined Investment Management and Ongoing Financial Planning Services, we deduct our advisory fee from one or more account(s) held at an unaffiliated third-party custodian, as directed by the Client. Please refer to Item 15 of this Brochure regarding our policy on direct fee deduction. Clients may also pay all fees by electronic funds transfer (EFT), ACH, credit card, or check. In this case, we use an independent third party payment processor in which the Client can securely input their banking information and pay their fee. We do not take custody and do not have access to the Client’s banking information at any time. The Client will be provided with their own secure portal in order to make payments.

For Investment Management services, we deduct our advisory fee from one or more account(s) held at an unaffiliated third-party custodian, as directed by the Client. Please refer to Item 15 of this Brochure regarding our policy on direct fee deduction. Clients may also pay by electronic funds transfer (EFT), ACH, credit card or check. In this case, we use an independent third party payment processor in which the Client can securely input their banking information and pay their fee. We do not take custody and do not have access to the Client’s banking information at any time. The Client will be provided with their own secure portal in order to make payments.

For Financial Planning services, fees are paid by electronic funds transfer (EFT), ACH, credit card, or check. We use an independent third party payment processor in which the Client can securely input their banking information and pay their fee. We do not take custody and do not have access to the Client’s banking information at any time. The Client will be provided with their own secure portal in order to make payments.

Other Types of Fees and Expenses

Our fees are exclusive of brokerage commissions, transaction fees, and other related costs and expenses which may be incurred by the Client. Clients may incur certain charges imposed by custodians, brokers, and other third parties such as custodial fees, deferred sales charges, odd-lot differentials, transfer taxes, wire transfer, and electronic fund fees, and other fees and taxes on brokerage accounts and securities transactions. Mutual fund and exchange-traded funds also charge internal management fees, which are disclosed in a fund's prospectus. Such charges, fees, and commissions are exclusive of and in addition to our fee, and we do not receive any portion of these commissions, fees, and costs.

Item 12 further describes the factors that we consider in selecting or recommending custodians for Client’s transactions and determining the reasonableness of their compensation (e.g., commissions).

Clients may incur fees from third-party professionals such as accountants and attorneys that LFG may recommend, upon Client request. Such fees are separate and distinct from LFG’s advisory fees.

Terminations and Refunds

For Investment Management Services, the Advisory Contract may be terminated with written notice at least 30 calendar days in advance. Upon termination of the Advisory Contract, a prorated refund will be provided to the Client based on the number of days services were provided up to the date of termination.

For Ongoing Financial Planning services, the Advisory Contract may be terminated with written notice at least 30 calendar days in advance. In the event of early termination prior to the initial plan being delivered, fees will be prorated and any unearned fees will be refunded to the Client. Upon termination after initial plan delivery, the fee will be prorated based on the percentage of work completed by the Advisor and refunded to the Client.

For Project-Based Financial Planning services, this service is not an ongoing engagement, thus upon receipt of the final fees, the Advisory Contract will automatically be terminated. Clients may terminate at any time by providing written notice to LFG. If fees are paid in advance, a prorated refund will be given, if applicable, upon termination of the Advisory Contract for any unearned fee. For fees paid in arrears, Client shall be charged a pro-rata fee based upon the percentage of the work done up to the date of termination.

Sale of Securities or Other Investment Products

Advisor and its supervised persons do not accept compensation for the sale of securities or other investment products including asset-based sales charges or service fees from the sale of mutual funds.

Item 6: Performance-Based Fees and Side-By-Side Management

We do not offer performance-based fees and do not engage in side-by-side management.

Item 7: Types of Clients

We provide financial planning and investment management services to individuals, high net-worth individuals and small businesses.

Our minimum account size requirement is $250,000 to open or maintain an account under our management. LFG may reduce or waive the minimum account size requirement on a case-by-case basis.

Item 8: Methods of Analysis, Investment Strategies, and Risk of Loss

Below is a brief description of our methods of analysis and primary investment strategies.

Methods of Analysis

Fundamental analysis involves analyzing individual companies and their industry groups, such as a company's financial statements, details regarding the company's product line, the experience, and expertise of the company's management, and the outlook for the company's industry. The resulting data is used to measure the true value of the company's stock compared to the current market value. The risk of fundamental analysis is that the information obtained may be incorrect and the analysis may not provide an accurate estimate of earnings, which may be the basis for a stock's value. If securities prices adjust rapidly to new information, utilizing fundamental analysis may not result in favorable performance.

Technical analysis involves using chart patterns, momentum, volume, and relative strength in an effort to pick sectors that may outperform market indices. However, there is no assurance of accurate forecasts or that trends will develop in the markets we follow. In the past, there have been periods without discernible trends and similar periods will presumably occur in the future. Even where major trends develop, outside factors like government intervention could potentially shorten them.

Furthermore, one limitation of technical analysis is that it requires price movement data, which can translate into price trends sufficient to dictate a market entry or exit decision. In a trendless or erratic market, a technical method may fail to identify trends requiring action. In addition, technical methods may overreact to minor price movements, establishing positions contrary to overall price trends, which may result in losses. Finally, a technical trading method may underperform other trading methods when fundamental factors dominate price moves within a given market.

Modern Portfolio Theory (MPT)
The underlying principles of MPT are:

- Investors are risk averse. The only acceptable risk is that which is adequately compensated by an expected return. Risk and investment return are related and an increase in risk requires an increased expected return.
- Markets are efficient. The same market information is available to all investors at the same time. The market prices every security fairly based upon this equal availability of information.
- The design of the portfolio as a whole is more important than the selection of any particular security. The appropriate allocation of capital among asset classes will have far more influence on long-term portfolio performance than the selection of individual securities.
- Investing for the long-term (preferably longer than ten years) becomes critical to investment success because it allows the long-term characteristics of the asset classes to surface.
- Increasing diversification of the portfolio with lower correlated asset class positions can decrease portfolio risk. Correlation is the statistical term for the extent to which two asset classes move in tandem or opposition to one another.

Mutual Fund and/or ETF Analysis: We look at the experience and track record of the manager of the mutual fund or ETF in an attempt to determine if that manager has demonstrated an ability to invest over a period of time and in different economic conditions. We also look at the underlying assets in a mutual fund or ETF in an attempt to determine if there is significant overlap in the underlying investments held in other funds in the Client's portfolio. In addition, we monitor the funds or ETFs in an attempt to determine if they are continuing to follow the stated investment strategy of the fund or ETF.

A risk of mutual fund and/or ETF analysis is that, as in all securities investments, past performance does not guarantee future results. A manager who has been successful may not be able to replicate that success in the future. In addition, as we do not control the underlying investments in a fund or ETF, managers of different funds held by the client may purchase the same security, increasing the risk to the client if that security were to fall in value. There is also a risk that a manager may deviate from the stated investment mandate or strategy of the fund or ETF, which could make the fund or ETF less suitable for the Client's portfolio.

Investment Strategies

Asset Allocation

In implementing our Clients' investment strategy, we begin by attempting to identify an appropriate ratio of equities, fixed income, and cash (i.e. "asset allocation") suitable to the Client's investment goals and risk tolerance.

A risk of asset allocation is that the Client may not participate in sharp increases in a particular security, industry or market sector. Another risk is that the ratio of equities, fixed income, and cash will change over time due to stock and market movements and, if not corrected, will no longer be appropriate for the Client's goals. We attempt to closely monitor our asset allocation models and make changes periodically to keep in line with the target risk tolerance model.

Passive and Active Investment Management

We may choose investment vehicles that are considered passive, active, or a combination of both styles.

Passive investing involves building portfolios that are composed of various distinct asset classes. The asset classes are weighted in a manner to achieve a desired relationship between correlation, risk and return. Funds that passively capture the returns of the desired asset classes are placed in the portfolio.

Active investing involves a single manager or managers who employ some method, strategy or technique to construct a portfolio that is intended to generate returns that are greater than the broader market or a designated benchmark. Actively managed funds are also designed to reduce volatility and risk.

We may engage in both passive and active investing in the Client's portfolio. However, we strive to construct portfolios of funds and individual securities that we believe will have the greatest probability for achieving our Clients' personal financial goals with the least amount of volatility and risk rather than attempt to outperform an arbitrary index or benchmark.

Specific investment selections are based on a number of factors that we evaluate in order to select, what we believe to be, the highest quality funds or individual securities for our Clients. These factors include but are not limited to underlying holdings of funds, percentage weighting of holdings within funds, liquidity, tax efficiency, bid/ask spreads, and other smart/strategic beta factors. These factors may or may not result in the lowest cost ETFs and mutual funds available when utilizing funds in a Client's portfolio, but we strive to keep internal fund expenses as low as possible.

Socially Responsible Investing

We may utilize various socially conscious investment approaches if a Client desires. LFG may construct portfolios that utilize mutual funds or ETFs with the purpose of incorporating socially conscious principles into a Client's portfolio. These portfolios may sometimes also be customized to reflect the personal values of each individual, family, or organization. This allows our Clients to invest in a way that aligns with their values. LFG may rely on mutual funds and ETFs that incorporate Environmental, Social and Governance ("ESG") research as well as positive and negative screens related to specific business practices to determine the quality of an investment on values-based merits. Additionally, LFG may construct portfolios of individual securities in order to provide Clients with a greater degree of control over the socially conscious strategies they are utilizing. LFG relies on third-party research when constructing portfolios of individual securities with socially conscious considerations.

If you request your portfolio to be invested according to socially conscious principles, you should note that returns on investments of this type may be limited and because of this limitation you may not be able to be as well diversified among various asset classes. The number of publicly traded companies that meet socially conscious investment parameters is also limited, and due to this limitation, there is a probability of similarity or overlap of holdings, especially among socially conscious mutual funds or ETFs. Therefore, there could be a more pronounced positive or negative impact on a socially conscious portfolio, which could be more volatile than a fully diversified portfolio.

Material Risks Involved

All investing strategies we offer involve risk and may result in a loss of your original investment which you should be prepared to bear. Many of these risks apply equally to stocks, bonds, commodities, and any other investment or security. Material risks associated with our investment strategies may include, but are not limited to, the following:

Market Risk: Market risk involves the possibility that an investment's current market value will fall because of a general market decline, reducing the value of the investment regardless of the operational success of the issuer's operations or its financial condition.

Strategy Risk: The Adviser's investment strategies and/or investment techniques may not work as intended.

Interest Rate Risk: Bond (fixed income) prices generally fall when interest rates rise, and the value may fall below par value or the principal investment. The opposite is also generally true: bond prices generally rise when interest rates fall. In general, fixed income securities with longer maturities are more sensitive to these price changes. Most other investments are also sensitive to the level and direction of interest rates.

Inflation: Inflation may erode the buying power of your investment portfolio, even if the dollar value of your investments remains the same.

Small and Medium Cap Company Risk: Securities of companies with small and medium market capitalizations are often more volatile and less liquid than investments in larger companies. Small and medium cap companies may face a greater risk of business failure, which could increase the volatility of the Client's portfolio.

Turnover Risk: Actively managed mutual funds tend to have a higher turnover rate than passive funds. A high portfolio turnover would result in higher transaction costs and in higher taxes when shares are held in a taxable account. These factors may negatively affect the account's performance.

Limited markets: Certain securities may be less liquid (harder to sell or buy) and their prices may at times be more volatile than at other times. Under certain market conditions, we may be unable to sell or liquidate investments at prices we consider reasonable or favorable or find buyers at any price.

Legal or Legislative Risk: Legislative changes or Court rulings may impact the value of investments, or the securities' claim on the issuer's assets and finances.

Industry Risk: The portfolio's investments could be concentrated within one industry or group of industries. Any factors detrimental to the performance of such industries will disproportionately impact your portfolio. Investments focused in a particular industry are subject to greater risk and are more greatly impacted by market volatility than less concentrated investments.

Non-U.S. Securities Risk: Non-U.S. securities are subject to the risks of foreign currency fluctuations, generally higher volatility and lower liquidity than U.S. securities, less developed securities markets and economic systems and political and economic instability.

Emerging Markets Risk: To the extent that your portfolio invests in issuers located in emerging markets, the risk may be heightened by political changes and changes in taxation or currency controls that could adversely affect the values of these investments. Emerging markets have been more volatile than the markets of developed countries with more mature economies.

Currency Risk: The value of your portfolio's investments may fall as a result of changes in exchange rates.

Timing Risk: The risk that a client takes when trying to buy or sell a stock based on future price predictions. Timing risk is the potential for missing out on beneficial movements in price due to an error in timing. This could cause harm to the value of your portfolio because of purchasing too high or selling too low.

Liquidity Risk: The risk that a client takes when implementing investment objectives that could occur as the result of an economic downturn in the U.S. or global economy resulting in the need to sell investments on short notice resulting in a loss of principal in order to maintain the desired liquidity.

Leverage Risk: Leverage is used to increase potential return on an investment. If leverage is used to make an investment and the investment moves against the investor, the loss is much greater than it would have been if the investment had not been leveraged. Leverage typically magnifies both gains and losses.

Management Risk: Your investment with us varies with the success and failure of investment strategies, research, analysis, and determination of portfolio.

Cyber Security Risk: Breaches or failures of electronic systems of securities market participants or the issuers of securities can cause significant losses for investors.

Risks Associated with Securities

Apart from the general risks outlined above which apply to all types of investments, specific securities may have other risks.

Common stocks may go up and down in price quite dramatically, and in the event of an issuer's bankruptcy or restructuring could lose all value. A slower-growth or recessionary economic environment could have an adverse effect on the price of all stocks.

Exchange Traded Funds prices may vary significantly from the Net Asset Value due to market conditions. Certain Exchange Traded Funds may not track underlying benchmarks as expected. ETFs are also subject to the following risks: (i) an ETF's shares may trade at a market price that is above (premium) or below (discount) their net asset value and an ETF purchased at a premium may ultimately be sold at a discount; (ii) trading of an ETF's shares may be halted if the listing exchange's officials deem such action appropriate, the shares are delisted from the exchange, or the activation of market-wide "circuit breakers" (which are tied to large decreases in stock prices) halts stock trading generally. The Adviser has no control over the risks taken by the underlying funds in which the Clients invest.

Mutual Funds When a Client invests in open-end mutual funds or ETFs, the Client indirectly bears its proportionate share of any fees and expenses payable directly by those funds. Therefore, the Client will incur higher expenses, many of which may be duplicative. In addition, the Client's overall portfolio may be affected by losses of an underlying fund and the level of risk arising from the investment practices of an underlying fund (such as the use of derivatives).

Item 9: Disciplinary Information

Criminal or Civil Actions
LFG and its management persons have not been involved in any criminal or civil action.

Administrative Enforcement Proceedings
LFG and its management persons have not been involved in administrative enforcement proceedings.

Self-Regulatory Organization Enforcement Proceedings
LFG and its management persons have not been involved in legal or disciplinary events that are material to a Client's or prospective Client's evaluation of LFG or the integrity of its management.

Item 10: Other Financial Industry Activities and Affiliations

Broker-Dealer Affiliation
Neither LFG nor its management persons is registered, nor have an application pending to register, as a broker-dealer or a registered representative of a broker-dealer.

Other Affiliations
Neither LFG nor its management persons is registered, nor have an application pending to register, as a futures commission merchant, commodity pool operator, commodity trading advisor, or an associated person of the foregoing entities.

Related Persons
Jason Lampe is currently employed as an investment advisor with Sowell Management. Jason Lampe will remain a dually registered investment adviser at both Sowell Management and Lampe Financial Group, LLC solely until the transition of Mr. Lampe's clients are complete. Please note that this disclosure only relates to legacy client relationships. New advisory clients will be working with Jason Lampe solely through LFG.

Recommendations or Selections of Other Investment Advisers
As referenced in Item 4 of this brochure, LFG may utilize sub-advisors to manage their accounts. LFG will only utilize a sub-advisor who is properly licensed or registered as an investment adviser.

Item 11: Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

As a fiduciary, our firm has a duty of utmost good faith to act solely in the best interests of each Client. Our Clients entrust us with their funds and personal information, which in turn places a high standard on our conduct and integrity. Our fiduciary duty is a core aspect of our Code of Ethics and represents the expected basis of all of our dealings. The firm also accepts the obligation not only to comply with the mandates and requirements of all applicable laws and regulations but also to take responsibility to act in an ethical and professionally responsible manner in all professional services and activities.

Code of Ethics Description
This Code of Ethics does not attempt to identify all possible conflicts of interest, and compliance with each of its specific provisions will not shield our firm or its access persons from liability for misconduct that violates a fiduciary duty to our Clients. A summary of the Code of Ethics' Principles is outlined below.

- Integrity - Access persons shall offer and provide professional services with integrity.

- Objectivity - Access persons shall be objective in providing professional services to Clients.
- Competence - Access persons shall provide services to Clients competently and maintain the necessary knowledge and skill to continue to do so in those areas in which they are engaged.
- Fairness - Access persons shall perform professional services in a manner that is fair and reasonable to Clients, principals, partners, and employers, and shall disclose conflict(s) of interest in providing such services.
- Confidentiality - Access persons shall not disclose confidential Client information without the specific consent of the Client unless in response to proper legal process, or as required by law.
- Professionalism - Access persons conduct in all matters shall reflect the credit of the profession.
- Diligence - Access persons shall act diligently in providing professional services.

We periodically review and amend our Code of Ethics to ensure that it remains current, and we require all firm access persons to attest to their understanding of and adherence to the Code of Ethics at least annually. Our firm will provide a copy of its Code of Ethics to any Client or prospective Client upon request. Associated persons are prohibited from front running or other trading activities that would otherwise disadvantage the trading in Client accounts.

Investment Recommendations Involving a Material Financial Interest and Conflicts of Interest

Neither our firm, nor its access persons, nor any related person is authorized to recommend to a Client or effect a transaction for a Client, involving any security in which our firm or a related person has a material financial interest, such as in the capacity as an underwriter, adviser to the issuer, principal transaction, among others.

Advisory Firm Purchase of Same Securities Recommended to Clients and Conflicts of Interest

Our firm, its access persons, and its related persons may buy or sell securities similar to, or different from, those we recommend to Clients. In an effort to reduce or eliminate certain conflicts of interest, our Code of Ethics may require that we restrict or prohibit access persons' transactions in specific reportable securities. Any exceptions or trading pre-clearance must be approved by LFG's Chief Compliance Officer in advance of the transaction in an account. LFG maintains a copy of access persons' personal securities transactions as required.

Trading Securities At/Around the Same Time as Client's Securities

From time to time our firm, its access persons, or its related persons may buy or sell securities for themselves at or around the same time as they buy or sell securities for Clients' account(s). To address this conflict, it is our policy that neither our firm nor its access persons shall have priority over Clients' accounts in the purchase or sale of securities.

Item 12: Brokerage Practices

Factors Used to Select Custodians

LFG does not have any affiliation with any custodian we recommend. Specific custodian recommendations are made to the Client based on their need for such services. We recommend custodians based on the reputation and services provided by the firm.

In recommending custodians, we have an obligation to seek the "best execution" of transactions in Client accounts. The determinative factor in the analysis of best execution is not the lowest possible commission cost, but whether the transaction represents the best qualitative execution, taking into consideration the full range of the custodian's services. The factors we consider when evaluating a custodian for best execution include, without limitation, the custodian's:

- Combination of transaction execution services and asset custody services (generally without a separate fee for custody);
- Capability to execute, clear, and settle trades (buy and sell securities for your account);
- Capability to facilitate transfers and payments to and from accounts (wire transfers, check requests, bill payment, etc.);
- Breadth of available investment products (stocks, bonds, mutual funds, exchange-traded funds (ETFs), etc.);
- Availability of investment research and tools that assist us in making investment decisions
- Quality of services;
- Competitiveness of the price of those services (commission rates, margin interest rates, other fees, etc.) and willingness to negotiate the prices;
- Reputation, financial strength, security and stability;
- Prior service to us and our clients.

With this in consideration, our firm recommends Charles Schwab & Co. Inc. ("Schwab") or Altruist Financial, LLC ("Altruist") as independent and unaffiliated SEC registered broker-dealer firms and members of the Financial Industry Regulatory Authority ("FINRA") and the Securities Investor Protection Corporation ("SIPC").

Research and Other Soft-Dollar Benefits

LFG does not have any soft-dollar arrangements with custodians whereby soft-dollar credits, used to purchase products and services, are earned directly in proportion to the amount of commissions paid by a Client. However, as a result of being on their institutional platform, Altruist or Schwab may provide us with certain services and products that may benefit us. All such soft dollar benefits are consistent with the safe harbor contained in Section 28(e) of the Securities Exchange Act of 1934, as amended.

Charles Schwab

Schwab Advisor Services™ is Schwab's business serving independent investment advisory firms like us. They provide our Clients and us with access to their institutional brokerage services (trading, custody, reporting and related services), many of which are not typically available to Schwab retail customers. Schwab also makes available various support services. Some of those services help us manage or administer our Clients' accounts, while others help us manage and grow our business. Schwab's support services are generally available on an unsolicited basis (we don't have to request them) and at no charge to us. The benefits received by Advisor or its personnel do not depend on the number of brokerage transactions directed to Schwab. As part of its fiduciary duties to Clients, Advisor at all times must put the interests of its Clients first. Clients should be aware, however, that the receipt of economic benefits by Advisor or its related persons in and of itself creates a potential conflict of interest and may indirectly influence the Advisor's choice of Schwab for custody and brokerage services. This conflict of interest is mitigated as Advisor regularly reviews the factors used to select custodians to ensure our recommendation is appropriate. Following is a more detailed description of Schwab's support services:

1. **Services that benefit you.** Schwab's institutional brokerage services include access to a broad range of investment products, execution of securities transactions, and custody of Client assets. The investment products available through Schwab include some to which we might not otherwise have access or that would require a significantly higher minimum initial investment by our Clients. Schwab's services described in this paragraph generally benefit you and your account.

2. **Services that may not directly benefit you.** Schwab also makes available to us other products and services that benefit us but may not directly benefit you or your account. These products and services assist us in managing and administering our Clients' accounts. They include investment research, both Schwab's own and that of third parties. We may use this research to service all or a

substantial number of our Clients' accounts, including accounts not maintained at Schwab. In addition to investment research, Schwab also makes available software and other technology that:

- provide access to Client account data (such as duplicate trade confirmations and account statements)
- facilitate trade execution and allocate aggregated trade orders for multiple Client accounts
- provide pricing and other market data
- facilitate payment of our fees from our Clients' accounts
- assist with back-office functions, recordkeeping, and Client reporting

3. **Services that generally benefit only us.** Schwab also offers other services intended to help us manage and further develop our business enterprise. These services include:
    - Educational conferences and events
    - Consulting on technology, compliance, legal, and business needs
    - Publications and conferences on practice management and business succession

4. **Your brokerage and custody costs.** For our Clients' accounts that Schwab maintains, Schwab generally does not charge you separately for custody services but is compensated by charging you commissions or other fees on trades that it executes or that settle into your Schwab account. Certain trades (for example, many mutual funds and ETFs) may not incur Schwab commissions or transaction fees.

Altruist

LFG may offer investment advisory services through the custodial platform offered by Altruist Financial LLC, an unaffiliated SEC-registered broker-dealer and FINRA/SIPC member ("Altruist"). LFG's Clients establish brokerage accounts through Altruist. LFG maintains an institutional relationship with Altruist whereby Altruist provides certain benefits to LFG, including a fully digital account opening process, a variety of available investments, and integration with software tools that can benefit LFG and its Clients.

Brokerage for Client Referrals

We receive no referrals from a custodian, broker-dealer or third party in exchange for using that custodian, broker-dealer or third party.

Clients Directing Which Broker/Dealer/Custodian to Use

Our firm requires Clients establish account(s) at Schwab or Altruist to execute transactions through. We will assist with establishing your account(s) at Schwab or Altruist, however, we will not have the authority to open accounts on the Client's behalf. Not all investment advisers require their Clients to use their recommended custodian. By requiring that Clients use Schwab or Altruist, we may be unable to achieve most favorable execution of Client transactions, and this practice may cost Clients more money. We base our recommendations on the factors disclosed in Item 12 herein and will only recommend custodians if we believe it's in the best interest of the Client.

We do not permit Clients to direct brokerage (direct us to a broker-dealer of your choosing).

Aggregating (Block) Trading for Multiple Client Accounts

Investment advisers may elect to purchase or sell the same securities for several Clients at approximately the same time when they believe such action may prove advantageous to Clients. This process is referred to as aggregating orders, batch trading or block trading. We may aggregate Client orders in the event multiple Clients have securities in which the same security would be appropriate to buy and sell at the same time. If we decide to aggregate Client orders ("block trade"), we will do so in a manner where no Client is systematically advantaged or disadvantaged. Notwithstanding the foregoing, the firm mainly manages accounts on a Client-by-Client basis. Thus the opportunity to block trade may not be highly utilized.

Sub-advisors used by LFG may block Client trades at their discretion. Their specific practices are further discussed in their ADV Part 2A, Item 12.

Item 13: Review of Accounts

Periodic Reviews
Jason Lampe, Founder, Owner, Financial Advisor, and CCO of LFG, will work with Clients to obtain current information regarding their assets and investment holdings and will review this information as part of our financial planning services. LFG does not provide specific reports to Clients. Clients who engage us for investment management services will have their account(s) reviewed regularly on a semi-annual basis by LFG. Additionally, Clients are invited to meet with LFG on an annual basis. The account(s) are reviewed with regards to the Client's investment policies and risk tolerance levels.

Triggers of Reviews
Events that may trigger a special review would be unusual performance, addition or deletions of Client-imposed restrictions, excessive draw-down, volatility in performance, or buy and sell decisions from the firm or per Client's needs.

Review Reports
Clients will receive trade confirmations from the custodian(s) for each transaction in their accounts as well as quarterly statements and annual tax reporting statements from their custodian showing all activity in the accounts, such as receipt of dividends and interest.

LFG does not provide written performance nor holdings reports to Investment Management Clients outside of what is provided directly by their custodian.

Item 14: Client Referrals and Other Compensation

Compensation Received by Lampe Financial Group, LLC
LFG is a fee-only firm that is compensated solely by its Clients. LFG does not receive commissions or other sales-related compensation. Except as mentioned in Item 12 above, we do not receive any economic benefit, directly or indirectly, from any third party for advice rendered to our Clients.

Client Referrals from Solicitors
LFG does not, directly or indirectly, compensate any person who is not advisory personnel for Client referrals.

Item 15: Custody

LFG does not accept or maintain physical custody of client funds or securities, however, LFG is deemed to have constructive custody in the direct deduction of advisory fees. All Client assets are held at a qualified custodian.

If LFG deducts its advisory fee from Client's account(s), the following safeguards will be applied:

i. The Client will provide written authorization to LFG, permitting us to be paid directly from Client's accounts held by the custodian.
ii. The custodian will send at least quarterly statements to the Client showing all disbursements from the accounts, including the amount of the advisory fee.
iii. In jurisdictions where required, LFG will send an itemized invoice to the Client at the same time it instructs the custodian to debit the advisory fee. Itemization includes the formula used to calculate

the fee, the amount of assets under management the fee is based on, and the time period covered by the fee.

We urge you to carefully review custodial statements and compare them to the account invoices or reports that we may provide to you and notify us of any discrepancies. Clients are responsible for verifying the accuracy of these fees as listed on the custodian's brokerage statement as the custodian does not assume this responsibility. Our invoices or reports may vary from custodial statements based on accounting procedures, reporting dates, or valuation methodologies of certain securities.

LFG can also establish standing letters of instruction or other similar asset transfer authorization arrangements ("SLOA") with qualified custodians in order for us to disburse funds to accounts as specifically designated by the client. With a SLOA a client can typically authorize first-party and/or third-party transfers. If transfers are third-party, LFG complies with each of the requirements and conditions enumerated below:

1. The client provides an instruction to the qualified custodian, in writing, that includes the client's signature, the third party's name, and either the third party's address or the third party's account number at a custodian to which the transfer should be directed.
2. The client authorizes LFG, in writing, either on the qualified custodian's form or separately, to direct transfers to the third party either on a specified schedule or from time to time.
3. The client's qualified custodian performs appropriate verification of the instruction, such as a signature review or other method to verify the client's authorization, and provides a transfer of funds notice to the client promptly after each transfer.
4. The client has the ability to terminate or change the instruction to the client's qualified custodian.
5. LFG has no authority or ability to designate or change the identity of the third party, the address, or any other information about the third party contained in the client's instruction.
6. LFG maintains records showing that the third party is not a related party of LFG or located at the same address as LFG.
7. The client's qualified custodian sends the client, in writing, an initial notice confirming the instruction and an annual notice reconfirming the instruction.

Item 16: Investment Discretion

For those Client accounts where we provide Investment Management Services, Client will grant us discretionary authority in writing to determine the securities and the amount of securities to be bought or sold for a Client's account without having to obtain prior Client approval for each transaction. Investment discretion is explained to Clients in detail when an advisory relationship has commenced. At the start of the advisory relationship, the Client will execute a Limited Power of Attorney, which will grant our firm discretion over the account(s). Additionally, the discretionary relationship will be outlined in the Advisory Contract and signed by the Client. Clients may ask to limit our discretion by requesting certain restrictions on investments in writing. However, approval of such requests are at LFG's sole discretion.

Item 17: Voting Client Securities

We do not vote Client proxies. Therefore, Clients maintain exclusive responsibility for: (1) voting proxies, and (2) acting on corporate actions pertaining to the Client's investment assets. The Client may instruct the Client's qualified custodian to forward to the Client copies of all proxies and shareholder communications relating to the Client's investment assets. In most cases, you will receive proxy materials directly from the account custodian.

Item 18: Financial Information

We have no financial commitment that impairs our ability to meet contractual and fiduciary commitments to our Clients, nor have we been the subject of any bankruptcy proceeding. We do not have custody of Client funds or securities, except as disclosed in Item 15 above, or require or solicit prepayment of more than $500 in fees six months or more in advance.

Item 19: Requirements for State-Registered Advisers

Principal Officers
Jason Lampe serves as LFG's sole principal and CCO. Information about Jason Lampe's education, business background, and outside business activities can be found on his ADV Part 2B, Brochure Supplement attached to this Brochure.

Outside Business
All outside business information, if applicable, of LFG is disclosed in Item 10 of this Brochure.

Performance-Based Fees
LFG is not compensated by performance-based fees.

Material Disciplinary Disclosures
No management person at LFG has ever been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

Material Relationships That Management Persons Have With Issuers of Securities
LFG does not have any relationship or arrangement with issuers of securities.

Item 1: Cover Page

Lampe Financial Group, LLC

Mailing Address:
7555 W Amherst Avenue #27661
Denver, Colorado 80227

(646) 736-2575

Form ADV Part 2B – Brochure Supplement – Jason Lampe

Dated: 28 March 2024

For

Jason Lampe

Founder, Owner, Financial Advisor, and Chief Compliance Officer

This brochure supplement provides information about Jason Lampe that supplements the Lampe Financial Group, LLC ("LFG") brochure. A copy of that brochure precedes this supplement. Please contact Jason Lampe if the LFG brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information about Jason Lampe is available on the SEC's website at www.adviserinfo.sec.gov which can be found using the identification number Individual CRD# 5204930.

Item 2: Educational Background and Business Experience

Jason Lampe
Born: 1973

Educational Background

- B.S., Marketing/International Business, University of Colorado, Boulder
- Student, American College for Financial Planning
- Successfully passed Series 66, Series 7

Business Experience

- 03/2018 – Present, Lampe Financial Group, LLC, Founder, Owner, Financial Advisor, and CCO
- 01/2017 – 12/2023, Sowell Management, Investment Advisor
- 01/2016 – Present, LTT Properties, LLC, Manager and Co-owner
- 06/2010 – Present, VidaMobility.com, Owner
- 11/2014 – 04/2017, CONCERT Wealth Management, Inc., Registered Associate
- 09/2006 – 11/2014, Allegheny Investments, Ltd., Registered Associate

Item 3: Disciplinary Information

Jason Lampe has never been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

Item 4: Other Business Activities

Jason Lampe has property rental income through his partnership in LTT Properties, LLC, and is the owner of VidaMobility, a mobile office consulting firm. Hours are infrequent and not usually during market hours.

Item 5: Additional Compensation

Jason Lampe does not receive any economic benefit from any person, company, or organization, in exchange for providing Clients advisory services through LFG.

Item 6: Supervision

Jason Lampe as Chief Compliance Officer of LFG, supervises the advisory activities of our firm. Jason Lampe is bound by and will adhere to the firm's policies and procedures and Code of Ethics. Clients may contact Jason Lampe at the phone number on this brochure supplement.

Item 7: Requirements for State Registered Advisers

Jason Lampe has NOT been involved in an arbitration, civil proceeding, self-regulatory proceeding, administrative proceeding, or a bankruptcy petition.